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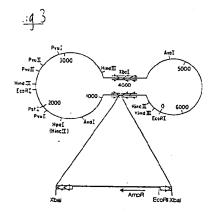
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# (54) Yeast vector.

(g) A 2μm plasmid vector for transforming yeast, especially brewing yeast, comprises a DNA sequence allowing propagation of the plasmid in bacteria, two copies of the 74 base pair FLP recombination site of the 2μm plasmid in direct orientation and a DNA sequence coding for a protein or peptide of interest. The plasmid is so constructed that in yeast the bacterial DNA sequence is spontaneously lost.

A "gene of interest" is preferably inserted at the SnaBl site.



#### Description

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## YEAST VECTOR

This invention relates to genetic engineering in yeast, especially Saccharomyces cerevisiae.

The uptake of exogenous DNA by yeast cells and the subsequent inheritance and expression of that DNA are brought about by a process called transformation. Transformation was first described in the late 1970's, employing methods which rely upon the addition of DNA to protoplasts produced by the enzymic removal of the yeast cell wall (Hinnen et al., 1978; Beggs, 1978). More recently the transformation of intact yeast cells has been demonstrated (Hisao et al., 1983).

Yeast can be transformed by appropriate plasmids; plasmids used for this purpose are usually constructed as "shuttle vectors" which can be propagated in either Escherichia coli or yeast (Hinnen et al., 1978; Beggs, 1978; Struhl, et al., 1979). The inclusion of E.coli plasmid DNA sequences, such as pBR322 (Bolivar, 1978), facilitates the quantitative preparation of vector DNA in E.coli, and thus the efficient transformation of yeast.

Plasmid vectors commonly in use for yeast transformation can be divided into two types: (i) replicating vectors, that is those which are capable of mediating their own maintenance, independent of the chromosomal DNA of yeast, by virtue of the presence of a functional origin of DNA replication and (ii) integrating vectors, which rely upon recombination with the chromosomal DNA to facilitate replication and thus the continued maintenance of the recombinant DNA in the host cell, Replicating vectors can be further sub-divided into: (a) 2µm-based plasmid vectors in which the origin of DNA replication is derived from the endogenous 2µm plasmid of yeast, (b) autonomously replicating vectors (ARS) in which the "apparent" origin of replication is derived from the chromosomal DNA of yeast and (c) centromeric plasmids (CEN) which carry in addition to one of the above origins of DNA replication a sequence of yeast chromosomal DNA known to harbour a centromere.

In order to transform yeast efficiently with any of the aforementioned vectors it is necessary to impose a selection to identify those transformants which carry the recombinant DNA. This is achieved by incorporating within the vector DNA a gene with a discernible phenotype. In the case of vectors used to transform laboratory yeast, prototrophic genes, such as LEU2, URA3 or TRP1 (Hinnen et al., 1978; Beggs, 1978; Gerbaud et al., 1979), are usually used to complement auxotrophic lesions in the host. However, in order to transform brewing yeast and other industrial yeasts, which are frequently polyploid and do not display auxotrophic requirements, it is necessary to utilize a selection system based upon a dominant selectable gene. In this respect replicating 2µm based plasmid vectors have been described carrying genes which mediate resistance to: (i) antibiotics, for example G418 (Jiminez et al., 1980; Webster et al., 1983), hygromycin B (Gritz et al., 1983), chloramphenicol (Cohen et al., 1980; Hadfield etal, 1986), and (ii) otherwise toxic materials, for example the herbicide sulfometuron methyl (Falco et al., 1985), compactin (Rine et al 1983) and copper (Henderson et al., 1985).

The inheritable stability of recombinant genes in yeast is dependent upon the type of yeast vector employed to facilitate transformation. The most stable of the two types of vector systems described earlier are the integrating vectors. The principles and practice of integrative yeast transformation have been described in the literature (Botstein &; Davis, 1982; Winston et al., 1983; Orr-Weaver et al., 1983; Rothstein, 1983). In general, integrative transformation is relatively inefficient; closed circular integrating plasmids have been described which yield approximately 1-10 transformants per µg of DNA (Hinnen et al., 1979; Hicks et al 1979). However, linear DNA, with free ends located in DNA sequences homologous with yeast chromosomal DNA, transforms yeast with higher efficiency (100-1000 fold) and the transforming DNA is generally found integrated in sequences homologous to the site of cleavage (Orr-Weaver et al., 1981). Thus by cleaving the vector DNA with a suitable restriction endonuclease, it is possible to increase the efficiency of transformation and target the site of chromosomal integration. Integrative transformation is applicable to the genetic modification of brewing yeast, providing that the efficiency of transformation is sufficiently high and the target DNA sequence for integration is within a region that does not disrupt genes essential to the metabolism of the host cell. An integrating yeast vector has recently been described for brewing yeast (Yocum, 1985).

Unlike integrating vectors, which show a high degree of inheritable stability in the absence of selection, replicating vectors tend to be more unstable. The degree of inheritable stability is dependent upon the type of replicating vector used. ARS plasmids, which have a high copy number (approximately 20-50 copies per cell) (Hyman et al., 1982), tend to be the most unstable, and are lost at a frequency greater than 10% per generation (Kikuchi, 1983). However, the stability of ARS plasmids can be enhanced by ther attachment of a centromere; centromeric plasmids are present at 1 or 2 copies per cell (Clarke &; Carbon, 1980) and are lost at only approximately 1% per generation (Walmsley et al., 1983). Chimaeric 2µm based plasmids show varying degrees of inheritable stability, dependent upon both the host strain and the 2µm DNA sequences present on the plasmid.

The 2μm plasmid is known to be nuclear in cellular location (Nelson &; Fangman, 1979; Livingston &; Hahne, 1979; Seligy et al., 1980; Taketo et al., 1980; Sigurdson et al., 1981), but is inherited in a non-Mendelian fashion (Livingston, 1977). Cells without the 2μm plasmid (cir°) have been shown to arise from haploid yeast populations having an average copy number of 50 copies of the 2μm plasmid per cell at a rate of between 0.001% and 0.01% of the cells per generation (Futcher &; Cox, 1983). A possible explanation for this low level of inheritable instability is that the plasmid provides no obvious advantage to the cell under normal growth conditions (Broach, 1981); Futcher &; Cox, 1983; Sigurdson et al., 1981), although small effects on growth

rates have been reported for some strains harbouring the 2µm plasmid (Walmsley et al., 1983). Analysis of different strains of <u>S. cerevisiae</u> has shown that the plasmid is present in most strains of yeast (Clark-Walker &; Miklos, 1974) including brewing yeast (Tubb, 1980; Aigle et al., 1984; Hinchliffe &; Daubney, 1986). It thus appears that the plasmid is ubiquitous, which implies a high degree of inheritable stability in nature.

Genetic and molecular analysis of the 2µm plasmid has revealed a wealth of information concerning the replication and stable maintenance of the plasmid (Volkert &; Broach, 1987). In essence the plasmid consists of a circular DNA molecule of 6318 base-pairs (Hartley &; Donelson, 1980). It harbours a unique bidirectional origin of DNA replication (Newlon et al., 1981) which is an essential component of all 2μm based vectors. The plasmid contains four genes, REP1, REP2, REP3 and FLP which are required for the stable maintenance of high plasmid copy number per cell (Broach &; Hicks, 1980; Jayaram et al., 1983). The REP1 and REP2 genes encode trans acting proteins which are believed to function in concert by interacting with the REP3 locus to ensure the stable partitioning of the plasmid at cell division (Volkert &; Broach, 1987). In this respect the REP3 gene behaves as a cis acting locus which effects the stable segregation of the plasmid, and is phenotypically analogous to a chromosomal centromere (Jayaram et al., 1983; Kikuchi, 1983). An important feature of the 2μm plasmid is the presence of two inverted DNA sequence repetitions (each 559 base-pairs in length) which . separate the circular molecule into two unique regions. Intramolecular recombination between the inverted repeat sequences results in the inversion of one unique region relative to the other and the production in vivo of a mixed population of two structural isomers of the plasmid, designated A and B (Beggs, 1978). Recombination between the two inverted repeats is mediated by the protein product of a gene called the FLP gene, and the FLP protein is capable of mediating high frequency recombination within the inverted repeat region. This site specific recombination event is believed to provide a mechanism which ensures the amplification of plasmid copy number (Futcher, 1986; Volkert &; Broach, 1986; Som et al., 1988; Murray et al.,

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Each inverted repeat sequence comprises three DNA repeat sequence sub-units (depicted as triangles in Figure 3), two adjacent sub-units being in mutually direct orientation and the third being in indirect orientation and joined to one of the other sub-units via an 8 base pair linking or spacer region. This spacer region contains a unique Xbal site and recognises and is cut at its margins by the product of the FLP gene. The adjacent sequences are of course homologous to the corresponding sequences of the other inverted repeat sequence and hence provide for accurate recombination following the said cutting. Andrews et al., (1985) has found that a 74 base pair sequence including the 8 b.p. spacer region is the minimum requirement for FLP site specific recombination.

Yeast vectors based upon the replication system of the  $2\mu m$  plasmid have been constructed by inserting heterologous DNA sequences in regions of the  $2\mu m$  plamid not essential to its replication (Beggs, 1981). This has resulted in two basic types of vector: (i) whole  $2\mu m$  vectors and (ii)  $2\mu m$  origin vectors. In the case of the former, these vectors harbour the whole  $2\mu m$  plasmid into which various heterologous sequences have been inserted, such as  $\underline{E.coli}$  plasmid DNA. These plasmids are capable of maintaining themselves at high copy number with a high degree of inheritable stability in both cir $^+$  ( $2\mu m$  containing) and cir $^o$  ( $2\mu m$  deficient) hosts. On the other hand  $2\mu m$  origin vectors usually contain a minimal DNA sequence harbouring the  $2\mu m$  origin of DNA replication and a single copy of the 599 base-pair repeat of  $2\mu m$ ; such vectors can only be maintained in cir $^+$  host strains, since they require the proteins encoded by the REP1 and REP2 genes to be supplied in  $2\mu m$  trans from the endogenous plasmid to ensure their 'stable' maintenance.

When a genetically modified yeast which is capable of expressing a heterologous gene to produce high levels of a commercially important polypeptide is constructed, it is usually desirable to choose a high copy number yeast vector. 2µm based vectors have proved very successful for use as expression plasmids and therefore frequently constitute the vector of choice (Kingsman et al., 1985).

In European Patent Application 86303039.1 (Publication No. 0201239 A1 in the name of Delta Biotechnology Ltd.) a process is described for the production of heterologous proteins in brewing yeast, in which an industrial yeast strain is genetically modified to be capable of expressing a heterologous gene, such that no expression of the said heterologous gene takes place during the primary beer fermentation, but rather yeast biomass is accumulated and the synthesis of heterologous protein is induced after the yeast has been removed from the beer. This is achieved by transforming brewing yeast with a 2μm based plasmid harbouring the dominant selectable marker CUP-1 and a gene encoding a modified human serum protein, N-methionyl albumin (Met-HSA), whose expression is regulated at the transcriptional level by a galactose inducible promoter. In order to maximise the yield of heterologous protein synthesis during the operation of the said process it is necessary to ensure: (i) a high copy number of the gene to be expressed (encoding for Met-HSA); (ii) a high degree of inheritable stability of the gene of interest under conditions of non-selective growth; (iii) that the recombinant genes transformed into brewing yeast must not have a deleterious effect upon the yeast and its ability to produce beer and subsequently heterologous protein; and (iv) that the recombinant genes present in yeast should, so far as possible, be restricted to the 'gene of interest' and adjacent yeast regulatory genes. The requirement (ii) is particularly important because it is both impractical and undesirable to supplement the normal growth medium of brewers' yeast, namely hopped malt extract, with toxic materials such as copper ions since this will increase process costs and have a deleterious and probably unacceptable effect upon the quality of the beer, which is the primary product of the fermentation. In connection with requirement (iv), it is desirable that the genetically modified yeast should not possess extraneous DNA sequences such as those which are derived from the bacterial portion of the recombinant

plasmid.

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In our application published as EP-A-251744 we have described a method for modifying yeast cells by incorporating into the endogenous  $2\mu m$  plasmid a DNA sequence coding for a protein or peptide of interest, by making an integration vector comprising two copies of a homologous  $2\mu m$  plasmid DNA sequence in direct orientation encompassing the DNA sequence of interest, transforming yeast with the said integration vector, and then isolating from the transformed yeast obtained cells containing the endogenous  $2\mu m$  plasmid modified by incorporation of the DNA sequence of interest. The integration vector itself does not survive in the transformed yeast cells. The homologous  $2\mu m$  plasmid DNA sequences may be, but usually are not, copies of the  $2\mu m$  plasmid repeat sequence.

We have now found that a modification of the method of the said application make it possible to transform yeast cells by incorporation of a modified 2µm plasmid.

In the method of the present application, the plasmid vector used comprises a DNA sequence which allows propagation of the vector in bacteria encompassed between two homologous  $2\mu m$  plasmid DNA FLP recombination sites in direct orientation, a DNA sequence coding for a protein or peptide of interest, which is preferably but not necessarily a sequence heterologous to yeast, and preferably also a selectable marker DNA sequence. The  $2\mu m$  plasmid vector of the Invention thus comprises three copies of the FLP recombination site of which one pair is in direct orientation and the other two pairs are in indirect orientation. When yeast is transformed with a plasmid vector having this construction, the DNA sequence which allows propagation of the vector in bacteria has ben found to be spontaneously lost and the plasmid vector becomes a modified  $2\mu m$  plasmid which is capable of replacing the endogenous  $2\mu m$  plasmid in the transformed yeast. Plasmid vectors of this type are hereinafter called disintegration vectors. The yeast transformed with such vectors may contain multiple extrachromosomal copies of a modified  $2\mu m$  plasmid containing a gene of interest but no bacterial DNA, which have been found to be stably inherited under conditions of non-selective growth.

Bruschi (13th International Conference on Yeast Genetics and Molecular Biology, Autumn 1986) disclosed that recombination in a  $2\mu$ -based plasmid could result in the excision of bacterial DNA sequences, but suggested only that the system could be used to study structure-function relationships in the DNA molecule. We have now found that a similar system can be used to prepare advantageous expression vectors which have unexpected stability.

The term "FLP recombination site" is used herein to mean any site which will allow for recombination as a result of interaction with the FLP gene product. If Andrews' finding (1985) is correct, then the FLP recombination site will generally comprise as a minimum the 74 b.p. sequence indentified by him. In practice, there is no point in including more than the 599 base pairs of the whole repeat sequence.

The 2µm based disintegration vector of the present invention has been found to be capable of transforming both laboratory and industrial yeast. The disintegration vector is maintained at a high copy number per cell and has an extremely high degree of inheritable stability. In addition, unlike all other 2µm based plasmid vectors thus far described, the disintegration vector is constructed so that, upon transformation of yeast, the bacterial plasmid DNA sequences are spontaneously deleted. Thus genetically modified strains of brewing yeast can be constructed in which the "gene of interest" incorporated in the 2µm plasmid is stably maintained, even under conditions of non-selective growth, at a high copy number per cell, in the absence of extraneous bacterial plasmid DNA sequences. The use of such a vector in the construction of a genetically modified brewing yeast ensures that only the 'gene of interest' is stably maintained for successive generations in brewing yeast, thereby circumventing any potential deleterious effects that additional DNA sequences may have upon the technological behaviour of the yeast and/or the flavour and quality characteristics of beer produced by the yeast.

In practice the 'gene of interest' can be any recombinant gene, either homologous or heterologous to yeast. The distintegration vector can be used for example to stably integrate the Met-HSA gene in brewing yeast expressed from either a constitutive yeast promoter, for example the phosphoglycerate kinase promoter (PGK) in accordance with the method described in EP-A-147 198 or a regulated yeast promoter, for example the GAL10/CYC1 hybrid promoter as described in EP-A-201 239, or the GAL/PGK promoter as described in EP-A-258 067.

Additional genes which may be stably maintained by this system include the  $\underline{DEX1}$  gene of  $\underline{Saccharomyces}$  diastaticus which specifies the production of an extracellular glucoamylase enzyme in brewing yeast, and the  $\beta$ -glucanase gene of  $\underline{Bacillus\ subtilis\ }$  which specifies the production of an endo-1,2-1,4- $\beta$ -glucanase in brewing yeast (Hinchliffe &;  $\overline{Box}$ ,  $\underline{1985}$ ). Such genes can be first genetically modified to control the level of gene expression and/or to ensure that the protein whose synthesis is mediated by the gene is secreted by the brewing yeast.

The use of the new disintegration vector is particularly advantageous in the process described in EP-A-201 239, since, according to this process, the 'gene of interest' is regulated so that it is not expressed during the course of the beer fermentation nor under normal conditions of yeast growth, but is rather induced in a post fermentation process. Consequently high level expression of the 'gene of interest' is divorced in time from the synthesis of yeast biomass by cell proliferation, and thus any adverse effects of gene expression upon plasmid stability are minimized.

Preferably the vector of the present invention is a disintegration vector (as hereinbefore defined) comprising a complete 2µm plasmid additionally carrying (i) a bacterial plasmid DNA sequence necessary for propagation of the vector in a bacterial host; (ii) an extra 2µm FLP recombination site; (iii) a DNA sequence coding for a

desired protein or peptide; and (iv) a selectable marker DNA sequence for yeast transformation; the said bacterial plasmid DNA sequence being present and the extra FLP recombination site being created at a restriction site in one of the two inverted repeat sequences of the 2µm plasmid, the said extra FLP recombination site being in direct orientation in relation to the endogenous FLP recombination site of the said one repeat sequence, and the bacterial plasmid DNA sequence being sandwiched between the extra FLP recombination site and the endogenous FLP recombination site of the said one repeat sequence.

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The preferred disintegration vector thus consists of a complete 2µm plasmid into which is inserted one or more bacterial plasmid DNA sequences and an extra copy of a 74 base-pair FLP recombination site derived from the 2μm plasmid. In addition the 'gene of interest', co-linear with a selectable marker for yeast transformation, e.g. CUP-1, is inserted at a second site in the 2µm plasmid. The bacterial plasmid DNA sequences and yeast DNA repeat are inserted, e.g. at an Xbal site, in one copy of the two inverted repeats of the whole 2µm plasmid. The correct orientation of the DNA repeat is essential to the function of the plasmid; the plasmid is constructed so that the bacterial plasmid sequence necessary for DNA propagation in, for example, E.coli, is sandwiched between two copies of the FLP recombination site of the 2µm plasmid, which are themselves in direct orientation. The configuration of DNA sequences is illustrated in Figure 3 described in more detail below. This construction confines the bacterial plasmid DNA sequences to a region of DNA which, when the plasmid is transformed into yeast, is excised from the plasmid, by virtue of a site-specific recombination event between the two directly oriented DNA repeats. This site-specific recombination is mediated by the product of the FLP gene of 2µm whose product can either be supplied by the endogenous 2µm plasmid of yeast, when transforming cir+ cells, or by the disintegration vector itself when transforming cir+ cells. Because the vectors of the invention may be used to cure the transformed yeast of its endogenous 2µm plasmids, and also because the recombination is more rapid in cir° cells, it is preferable for the vector of the invention to be based on a complete 2µm plasmid. If however, the vector of the invention is to co-exist with the endogenous 2µm plasmids, then genes such as REP1, REP2, REP3 and FLP need not be present on the vector, as the products of these genes can be supplied in trans; all that is necessary is an origin of replication.

As is described in more detail below, the inserted DNA carrying the bacterial sequences may carry at each end a respective portion of the repeat sequence, in which case the said DNA is inserted into an endogenous repeat sequence such that the endogenous recombination site is effectively destroyed but two new FLP recombination sites are formed, each comprising a portion of the endogenous recombination site and a complementary portion from the inserted DNA. Alternatively, a complete FLP recombination site may be carried towards one end of the insert, which insert is then inserted adjacent to or spaced from an endogenous repeat sequence such that the bacterial DNA lies between the endogenous repeat sequence and the inserted repeat sequence. When the inserted DNA is inserted at a location spaced from the endogenous sequence, the endogenous DNA between the endogenous repeat sequence and the inserted repeat sequence will later be excised along with the bacterial DNA. Hence, if this DNA is needed, a further copy of it must be provided (preferably on the inserted DNA) on the side of the inserted repeat sequence remote from the endogenous repeat sequence.

The site within the integral 2µm plasmid at which the 'gene of interest' is inserted is chosen with a view to minimizing the effect of the insertion upon both plasmid copy number and inheritable stability. Thus it is advantageous to insert the 'gene of interest' at a site that does not interrupt the integrity of the REP1, REP2, REP3 and FLP genes, particularly if the plasmid is intended for use in the transformation of a cir° host strain of yeast.

One advantageous characteristic of the disintegration vector is that, when it is introduced into cir<sup>+</sup> yeast strains, because it possesses an integral 2µm plasmid, it is capable of curing the endogenous 2µm plasmid, either during or following the excision of the bacterial plasmid sequences. An analogous situation has recently been reported for whole 2µm vectors transformed into cir<sup>+</sup> host strains of yeast (Harford &; Peters, 1987). Thus the disintegration vector can also be used to cure the endogenous 2µm plasmid from strains of yeast. In the accompanying drawings.

Figure 1 shows plasmid pBA112 (Andrews, et al., 1985). The thin line represents DNA sequences derived from the bacterial plasmid pUC9; the open bar represents the 74 base-pair DNA fragment containing the FLP recombination site; the triangles indicate the orientation of the three internal DNA repeats within each FLP recombination site (Andrews, et al., (1985);

Figure 2 shows plasmid pSAC112. Plasmid pSAC112 is indentical to pBA112 with the exception that the BamHI, PstI and HindIII sites have been deleted;

Figure 3 shows plasmid pSAC3. The thick line represents DNA sequences from the bacterial plasmid pUC9; the open bars represent the 74 base-pair DNA fragment containing the FLP recombination site; the thin line represents 2µm plasmid DNA sequences; the triangles indicate the orientation of the three internal DNA repeats in each FLP recombination site;

Figure 4 shows plasmid pSAC3U1. Designations are as for Figure 3;

Figure 5 is a plasmid map of pSAC3U2. Designations are as for Figure 3;

Figure 6 is a plasmid map of pSAC300. Designations are as for Figure 3;

Figure 7 is a plasmid map of pSAC310. Designations are as for Figure 3;

Figure 8 is a plasmid map of pSAC3C1. Designations are as for Figure 3;

Figure 9 is based on a photograph showing the growth of haploid yeast strains and illustrates the co-inheritance of the URA3 and bacterial bia gene; and

Figure 10 is an autoradiograph of total yeast DNA probed with <sup>32</sup>P labelled pSAC3 DNA. The following Examples illustrate the invention.

#### **EXAMPLE 1**

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# Construction of Plasmids

Plasmid pSAC112 (Figure 2) was constructed by digesting plasmid pBA112 (Figure 1, Andrews, et al., 1985) with the restriction endonucleases BamHI and HindllI simultaneously. Linear plasmid DNA was treated with DNA polymerase I (Klenow) in the presence of 0.3mM dNTP's (dATP, dTTP, dCTP and dGTP) for 10 minutes at 37°C. DNA was extracted with phenol:chloroform, ethanol-precipitated and incubated overnight at 15°C in the presence of T4 DNA ligase. Ligated DNA was transformed into E.coli strain MC1061 (Casadaban and Cohen, 1980); plasmid pSAC112 was isolated from the resultant transformants following identification and characterisation by the method of Birnboim and Doly (1980).

Plasmid pSAC3 (Figure 3) was constructed by the following procedure. Yeast 2μm plasmid DNA was isolated from strain DRI9 as described by Guerineau, et al., (1974). Purified 2μm plasmid DNA was partially digested with the restriction endonuclease Xbal as described by Maniatis et al., (1982), and ligated with Xbal cleaved pSAC112. Ligated DNA was transformed into E.coli strain AG1 (obtained from NBL Enzymes Ltd., Cramlington, England.). The resultant ampicillin-resistant transformants were screened for homology to 2μm plasmid DNA following colony hybridization (Grunstein and Hogness, 1975) to <sup>32</sup>P labelled 2.2 kilo base-pair EcoRI fragment from plasmid pYF92 (Storms, R.K. et al., 1979). Colonies showing homology with the 2μm specific DNA probe were isolated and their plasmid DNA characterized by restriction endonuclease mapping procedures. Plasmid pSAC3 was thus obtained.

Plasmids pSAC3U1 (Figure 4) and pSAC3U2 (Figure 5) were constructed by cleaving plasmid pSAC3 with the restriction endonuclease Pstl. Linear DNA was rendered flush-ended by treatment with T4 DNA polymerase in the presence of 0.3mM dNTP's (dATP, dCTP and dGTP) for 10 minutes at 37°C. DNA was extracted with phenol: chloroform and ethanol-precipitated prior to ligation. Plasmid pJDB110 (Beggs, 1981) was digested with the restriction endonuclease HindIII and the DNA fragments were subjected to agarose gel electrophoresis on a 1% gel. A 1.1 kilo-base-pair DNA fragment, harbouring the URA3 gene of yeast, was isolated from the gel (Maniatis, et al., 1982) and treated with DNA polymerase I (Klenow) in the presence of 0.3mM dNTP's (dATP, dTTP, dCTP and dGTP). The 1.1 kilo-base-pair HindIII fragment was extracted with phenol:chloroform, ethanol-precipitated and blunt-end ligated with linear pSAC3 DNA prepared as described above. Ligated DNA was transformed into E.coli strain AG1. The resultant ampicillin resistant transformants were screened for homology with the URA3 gene following colony hybridization (Grunstein and Hogness, 1975) to a <sup>32</sup>P labelled 1.1 kilo-base-pair HindIII fragment purified from plasmid pJDB110. Plasmids pSAC3U1 (Figure 4) and pSAC3U2 (Figure 5) were isolated from the colonies which showed homology to the URA3 gene probe. The 1.1 kilo-base-pair HindIII DNA fragment carrying the URA3 gene was also blunt-end ligated into the unique Eagl and SnaBl sites of pSAC3 to give plasmids designated pSAC300 (Figure 6) and pSAC310 (Figure 7) respectively.

Plasmid pSAC3C1 (Figure 8) was constructed by blunt end ligating a 694 base-pair Xbal-Kpnl DNA fragment, carrying the CUPI gene from plasmid pET13:1 (Henderson et al., 1985) into the unique Pstl site of pSAC3.

# Transformation of Yeast with Plasmids pSAC3U1 and pSAC3U2

The disintegration vectors pSAC3U1 (Figure 4) and pSAC3U2 (Figure 5) were constructed so that they each contain the selectable yeast gene, URA3, inserted at the unique PstI site of 2μm B form. In addition, each plasmid harbours DNA sequences derived from the bacterial plasmid pUC9 flanked to two copies of the FLP recombination site located in direct orientation. The position of the pUC9 DNA is such that FLP mediated recombination between these two directly orientated FLP recombination sites resulted in the excision of the bacterial plasmid DNA upon transformation of yeast. Cir⁺ and cir° derivatives of the haploid yeast strain S150-2B (Cashmore, et al., 1986) were transformed to uracil prototrophy with plasmids pSAC3U1 and pSAC3U2, according to the method of Ito (1983). URA⁺ transformants were screened for the co-inheritance of the bacterial bla gene, which encodes the β-lactam specific enzyme β-lactamase in yeast, by the method of Chevalier and Aigle (1979). The results presented in Figure 9 show that both plasmids segregate the bla gene from the URA⁺ gene in all transformants of the cir° strain, indicating deletion of the bacterial DNA sequences from the plasmids upon yeast transformation. However, the majority of URA⁺ trans-formants of the cir⁺ strain were observed to co-inherit the bla gene (15 out of 20 and 18 out of 20 for pSAC3U1 and pSAC3U2, respectively). These data suggest that the efficiency of plasmid disintegration, i.e. FLP mediated excision of the bacterial plasmid DNA sequences, is greater upon transformation of a cir° strain than a cir⁺.

## Molecular Analysis of Transformants

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In order to determine whether the URA<sup>+</sup> transformants which had segregated the <u>bla</u> gene (i.e. β-lactamase negative clones, <u>bla</u><sup>-</sup>) had indeed lost the <u>bla</u> gene and adjacent bacterial plasmid DNA sequences, yeast DNA was analysed. Two URA<sup>+</sup> <u>bla</u><sup>-</sup> transformants of the cir<sup>+</sup> and cir<sup>-</sup> strains transformed with pSAC3U1 and pSAC3U2 were grown on selective minimal medium lacking uracil and total DNA was extracted by the following procedure. Actively growing cells were harvested and resuspended in 5ml 1M sorbitol, 0.025M

ethylenediamine-tetracetic acid (EDTA) pH8.0, 8mg/ml dithiothreitol at 28°C for 15 minutes. Cells were harvested and resuspended in 5ml 1.2M sorbitol, 0.1M sodium citrate, 0.01M EDTA pH5.8, 0.025µl/ml zymolyase (Kirin Brewery Co. Ltd.) at 28°C until protoplasts were obtained. Protoplasts were washed three times in 1.2M sorbitol prior to resuspending in 1ml 30/o sarkosyl, 0.5M tris/HCl pH7.5, 0.2M EDTA, 100µl/ml proteinase K at 55°C for 60 minutes. DNA preparations were extracted with chloroform:iso-propanol, phenol, chloroform and ether prior to dialysis against 10mM tris/HCl 1mM EDTA pH8. Total yeast DNA was digested with the restriction endonucleases EcoRl, Xbal and Pstl and DNA fragments were separated by agarose electrophoresis. Following Southern transfer (Maniatis, et al., 1982) total yeast DNA was hybridized to 32P labelled pSAC3 DNA. The results are presented in Figure 10 which is an autoradiograph of total yeast DNA probed with 32P labelled pSAC3 DNA. DNA was isolated from \$150-2B cir+ strains transformed with plasmids pSAC 3U1 and pSAC 3U2. Two independent transformants of each strain/plasmid combination designated A and B were analysed. DNA was digested with restriction endonuclease as follows: Xbal, tracks 1-4 and 21-24; Pstl, tracks 5-12; EcoRl, tracks 13-20.

Track	Plasmid	Cir+/ciro	Isolate (A/B)	15
6, 14, 22	pSAC3U1	cir <sup>+</sup>	A	
8, 16, 24	pSAC3U1	cir <sup>+</sup>	В	
				20
5, 13, 21	pSAC3U1	cirº	A	
7, 15, 23	pSAC3U1	ciro	В	25
				25
2, 10, 18	pSAC3U2	cir+	A	
4, 12, 20	pSAC3U2	cir+	В	30
				30
1, 9, 17	pSAC3U2	cirº	A	
3, 11, 19	pSAC3U2	ciro	В	35
				00

Based upon the known restriction sites present in the endogenous  $2\mu m$  plasmid of yeast (Hartley and Donelson, 1980) and recombinant plasmids pSAC3U1 and pSAC3U2, one can predict the hybridization pattern to plasmid pSAC3. The predicted hybridization pattern is presented in Table 1.

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	Plasmid DNA	Restricti	on Endonucle	ase Fragments
10			(kilobase pa	irs)
		<u>Eco</u> RI	$\underline{\mathtt{Xba}}\mathtt{I}$	<u>Pst</u> I
	2um (endogenous)	4.1	3.2	6.3
15		3.9	3.1	
		2.4		
	_	2.2		
20				
	pSAC3U1 and	5.3	4.3	10.2
25	pSAC3U2 (intact)	4.1	3.2	
25		0.72	2.8	
	G + G0774 3	/5 O		<b>.</b> .
30	pSAC3U1 and	(5.0)	4.3	7.4
	pSAC3U2 (disinte-	4.1	3.2	
	grated)	3.3		
<i>35</i>		(2.4)		

The numbers in parenthesis will arise if the disintegrated plasmids have undergone FLP mediated interconversion.

If one compares the result of the hybridization (Figure 10) with those expected (Table 1) it can be seen that in each transformant the recombinant plasmid has undergone a deletion consistent with the excision of the bacterial plasmid DNA sequences, contained within the directly oriented FLP recombination sites. In addition, in the case of the transformants designated pSAC3U2/B the endogenous 2µm plasmid of strain S150-2B is no longer present. This implies that transformation of a cirt strain with plasmid pSAC3U2 results in curing of the endogenous 2um plasmid.

Additional evidence that plasmids pSAC3U1 and pSAC3U2 undergo an excision of the bacterial plasmid DNA upon transformation of yeast was obtained by hybridizing the aforementioned DNA preparations to 32P labelled pUC9 DNA (Vieira and Messing, 1982). URA+ bla- transformants did not hybridize to this DNA probe.

# Plasmids pSAC300, pSAC310 and pSAC3C1 Disintegrate upon Yeast Transformation

The URA+ plasmids pSAC300 and pSAC310 were used to transform the cir+ and ciro derivatives of S150-2B and the URA and bla phenotypes of the resultant transformants were determined. In all cases the disintegrated phenotype was observed; thus pSAC300 and pSAC310 are capable of excising the bacterial vector DNA upon yeast transformation. In this respect it was observed that plasmid pSAC300 gave rise to a significantly higher proportion of blatransformants of the cirt derivative of \$150-2B. The explanation for this is unknown. However it is possible that the disruption of the Eagl site by the insertion of the URA3 gene in pSAC300 may have interfered with the expression of the adjacent FLP gene, resulting in over expression of the FLP recombinase.

Plasmid pSAC3C1 was designed to be used in the transformation of copper sensitive industrial yeast and in particular brewing yeast. Thus pSAC3C1 was transformed into a proprietary strain of Bass lager yeast designated BB11.0, was described by Hinchliffe and Daubney (1986). Copper resistant transformants were then checked for the presence of the bla phenotype by the  $\beta$ -lactamase plate assay. Approximately 18% of the transformants tested were bla-copper resistant, indicative of the in vivo disintegration of plasmid pSAC3C1 in the brewing yeast host.

The <u>in vivo</u> disintegration of plasmids pSAC300, pSAC310 and pSAC3C1 was subsequently confirmed following a full molecular characterization of the appropriate host strains possessing the disintegrated phenotype. Thus when total yeast DNA was hybridized to <sup>32</sup>P-pUC9 DNA as described previously no homology could be detected in the bla- derivatives.

# Plasmid Stability of the 'Disintegrated' Transformants

The inheritable stability of the URA+ phenotype in the cir+ and ciro strains of S150-2B harbouring the disintegrated derivatives of pSAC3U1 and pSAC3U2, pSAC300 and pSAC310 was determined by growing the yeast non-selectively in YPD containing 2% w/v glucose, plating on the same medium and replica plating to minimal medium lacking uracil. The percent plasmid loss per generation was calculated and is presented in Table 2.

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TABLE 2
Percent Plasmid Loss per Generation

Plasmid Derivative	Percent Plasmid Loss	s per Generation	
(Disintegrated			20
Vector)	S150-2B	S150-2B	
	cir*	cir°	
			25
pSAC3U1	0.22	0.19	
			30
pSAC3U2	0.31	0.14	30
G A G B D D	0 5		
pSAC300	2.5	_	35
pSAC310	0	0.89	
25/10010	9	0.09	

It can be seen from the result presented in Table 2 that all the 'disintegrated'derivatives are unstable in both the cir<sup>+</sup> and cir<sup>o</sup> derivatives of S150-2B. However, the level of instability observed for pSAC3U1, pSAC3U2, and pSAC310 in particular is at least one order of magnitude lower than that observed for other URA<sup>+</sup> 2µm based recombinant vectors in S150-2B (Cashmore, et al., 1986).

It is interested to note that the insertion of the <u>URA3</u> gene into the unique <u>Eagl</u> site of the 2µm portion of pSAC3 results in a disintegrated derivative which is considerably less stable than those disintegrants derived from pSAC3U1, pSAC3U2 and pSAC310. It is apparent therefore that the site of insertion of the selectable marker can have a profound effect upon the stability of the resultant disintegrated derivative. In this respect it is clear that the unique <u>SnaBa</u> and <u>Pstl</u> sites of 2µm form suitable loci for the introduction of recombinant genes, since plasmid stability is not adversely affected by such insertions.

# Plasmid Stability of 'Disintegrated' Transformants of Brewing Yeast

Disintegrated derivatives of pSAC3C1 transformants of BB11.0 were also analysed for the stability of the copper resistant phenotype. Plasmid stability experiments were performed as described previously and resulted in an estimate of 0.014% plasmid loss per generation, under non-selective growth conditions. It is apparent from this result that the disintegrated derivatives of pSAC3C1 are extremely stable in the brewing yeast strain BB11.0, possessing a degree of stability hitherto unobserved for a recombinant 2µm based yeast vector.

# Disintegration Vectors can be used to stably Maintain "Genes of Interest" in Yeast

Plasmid pSAC3 carries a unique Pstl site and a unique SnaBl site into either of which DNA sequences can be inserted as described above, without adversely affecting the phenotype stability of the resultant disintegrated derivative of the plasmid in yeast. These sites can be used as loci for the introduction of "genes of interest" for example the DEX-1 gene of S.diastaticus and the human serum albumin gene expressed from a yeast

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promoter. Using known methods it is possible to insert such genes into these unique loci together with an appropriate selectable marker for yeast transformation. Alternatively, plasmids pSAC3U1, pSAC3U2, pSAC310 and pSAC3C1 can be used as recipients for insertion of an appropriate 'gene of interest'. In this respect pSAC3U1, pSAC3U2 and pSAC310 harbour a unique Smal site located in the 3' non-translated region of the URA3 gene (Rose et al, 1984). This Smal site can be used as a locus for the insertion of an appropriate 'gene of interest'.

The desirability of using the SnaBl site to insert a gene of interest, either directly or indirectly (for example when the URA3 gene is inserted and then a gene of interest is inserted into the Smal site thereof) is independent of the disintegration of the vector, i.e. the loss of the bacterial DNA sequences, and forms another aspect of the invention. Generally speaking, one would wish to prevent transcription continuing from the inserted gene(s) into the endogenous 2µm regions, particularly into the so-called STB region which is on the side of the SnaBl site remote from the yeast origin of replication (ori). Thus, preferably, the inserted sequence comprises (a) a gene of interest, (b) a promoter therefor on the side thereof adjacent ori and (c) a 3' transcription terminator downstream of the gene of interest and between that gene and the STB  $\overline{\text{region}}$ .

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It is to be noted that plasmids may also be created which, before recombination, have only two FLP recombination sites, in direct orientation and with the unwanted, for example bacterial, DNA between them (i.e. as the shorter of the two parts of the plasmid separated by the pair of recombination sites). After recombination, the plasmid will have only one recombination site and will therefore not undergo the usual 2µm recombination to give a mixed population of A and B forms. Such plasmids are likely to be less stable than those described above, but nevertheless form an aspect of the invention and may be claimed as such.

Claims

1. A  $2\mu m$  plasmid vector comprising a DNA sequence which is intended to be lost by recombination, three  $2\mu m$  FLP recombination sites, of which one pair of sites is in direct orientation and the other two pairs are in indirect orientation, and a DNA sequence coding for a protein or peptide of interest, the said sequence to be lost being located between the said sites which are in direct orientation.

2. A 2μm plasmid vector according to Claim 1 which also comprises a selectable marker DNA sequence.

3. A 2μm plasmid vector according to Claim 2 comprising a complete 2μm plasmid additionally carrying (i) a bacterial plasmid DNA sequence necessary for propagation of the vector in a bacterial host; (ii) an extra 2μm FLP recombination site; (iii) a DNA sequence coding for a protein or peptide of interest; and (iv) a selectable marker DNA sequence for yeast transformation; the said bacterial plasmid DNA sequence being present and the extra FLP recombination site being created at a restriction site in one of the two inverted repeat sequences of the 2μm plasmid, the said extra FLP recombination site being in direct orientation in relation to the endogenous FLP recombination site of the said one repeat sequence, and the bacterial plasmid DNA sequence being sandwiched between the extra FLP recombination site and the endogenous FLP recombination site of the said one repeat sequence.

4. A 2µm plasmid vector according to Claim 3 wherein the restriction site is the unique Xbal site.

5. A  $2\mu m$  plasmid vector according to Claim 3 or 4 wherein all bacterial plasmid DNA sequences are sandwiched as said.

6. A 2µm plasmid vector according to any of Claims 1 to 5 in which the DNA sequence coding for a protein or peptide of interest is heterologous to yeast.

7. A 2 µm plasmid vector according to Claim 6 in which the DNA sequence coding for a protein or peptide of interest is a DNA sequence coding for HSA fused at its 5' terminus to a gene promoter which functions in yeast via a secretion leader sequence which functions in yeast and at its 3' terminus to a transcription termination signal which functions in yeast.

8. A 2µm plasmid vector according to Claim 6 in which the DNA sequence coding for a protein or peptide of interest is the MET-HSA gene fused at its 5' terminus to the GAL/CYC1 or GAL/PGK hybrid promoter, and at its 3' terminus to a transcription termination signal which functions in yeast.

9. A  $2\mu m$  plasmid vector according to any of Claims 1 to 5 in which the DNA sequence coding for a protein or peptide of interest is the DEX-1 gene or a DNA sequence coding for the  $\beta$ -glucanase of <u>Bacillus subtilis</u> fused at its 5' terminus to a gene promoter which functions in yeast via a secretion leader sequence which functions in yeast and at its 3' terminus to a transcription termination signal which functions in yeast.

10. A 2µm plasmid vector according to Claim 1 having substantially the configuration of pSAC3 as shown in Figure 3 of the accompanying drawings.

11. A process for preparing a 2µm plasmid vector according to any one of the preceding claims comprising inserting into a complete 2µm plasmid (i) a DNA sequence for selecting yeast transformants, (ii) a DNA sequence encoding a protein or peptide of interest and (iii) an insert comprising (a) bacterial plasmid DNA to allow propagation of the vector in bacteria and (b) the elements of a FLP recombination

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site such that an extra FLP recombination site is created in the vector and the said bacterial DNA is sandwiched between two FLP recombination sites in mutually direct orientation.

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- 12. A process according to Claim 11 wherein the insert is inserted at the unique Xbal site of an endogenous FLP recombination site, one end of the insert carries one portion of a  $2\mu$ m repeat sequence and the other end of the insert carries the remainder of the  $2\mu$ m repeat sequence.
- 13. A 2µm plasmid vector comprising a DNA sequence encoding a protein or peptide of interest which is heterologous to yeast, the vector comprising no bacterial DNA.
- 14. Brewing yeast or laboratory yeast transformed with a 2µm plasmid vector as claimed in any of Claims 1 to 10 and 13.
- 15. A protein or peptide of interest prepared by fermenting a yeast according to Claim 14.
- 16. A 2μm plasmid vector carrying a gene of interest inserted directly or indirectly at the SnaBl site.



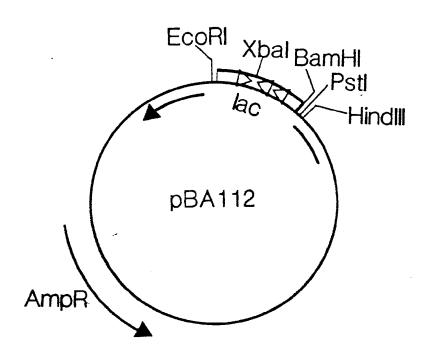
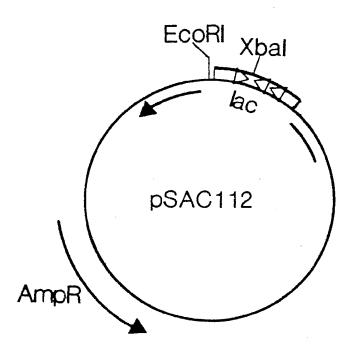
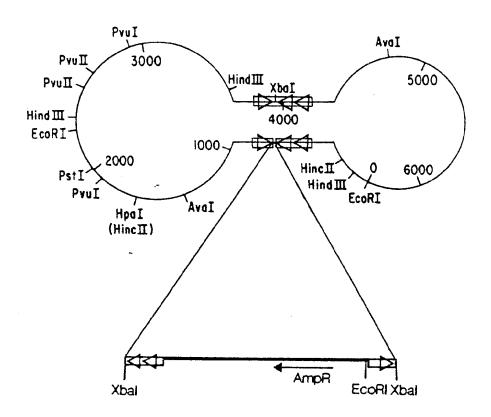


fig. 2





pSAC3

pSAC3U1

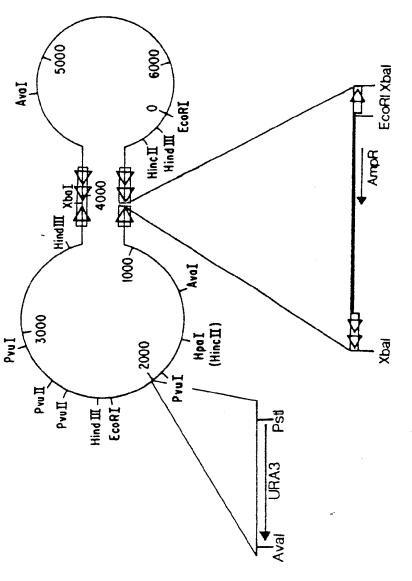
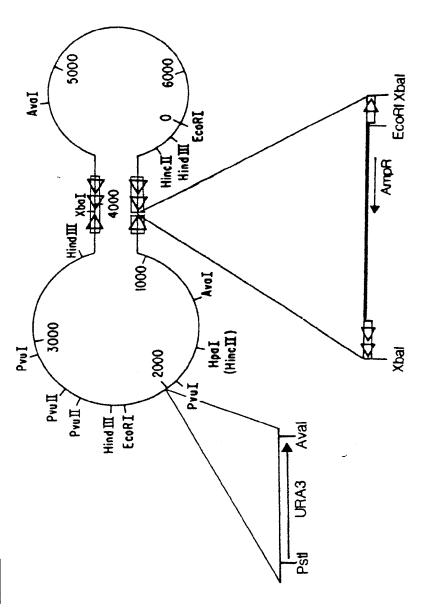
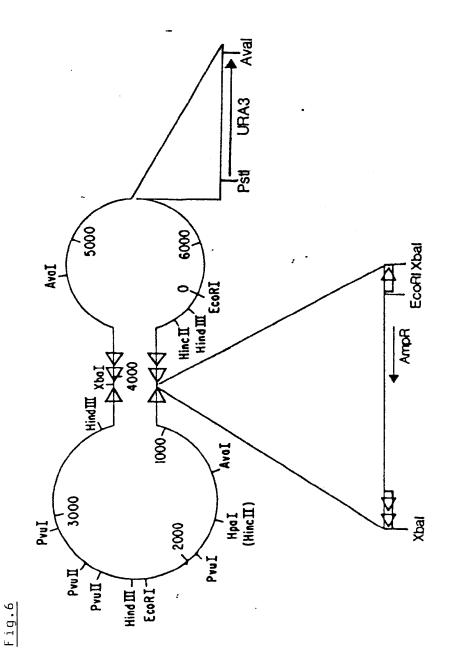


Fig.4

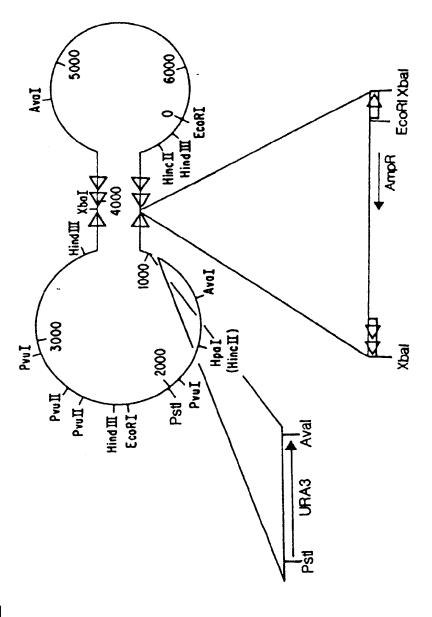


pSAC3U2

Fig.!

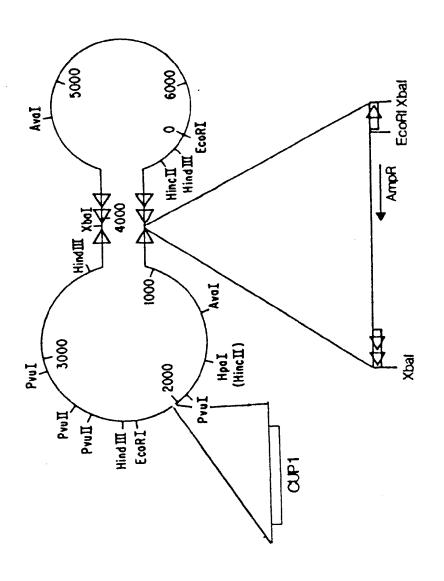


pSAC300



pSAC310

Fig.7



psAC3C1

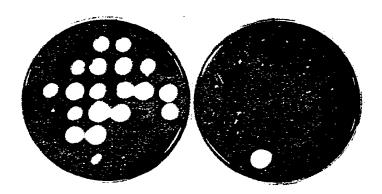
Fig.8

# Figure 9. Co-Inheritance of URA<sup>+</sup> and bla<sup>+</sup>

S150-2B

cir+

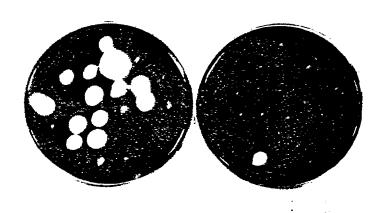
(pSAC3U2) (pSAC3U2)

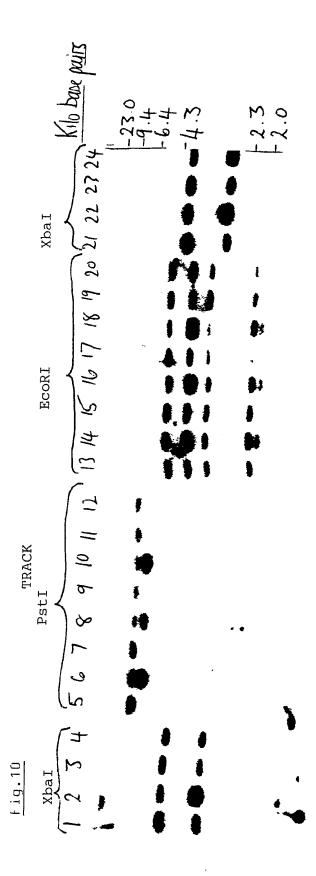


S150-2B

cir+ (pSAC3U1) (pSAC3U1)

cir°





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# EUROPEAN SEARCH REPORT

EP 88 30 3157

	DOCUMENTS CONS	IDERED TO BE RELEV	ANT		
Category		indication, where appropriate.	Relevant	CLASSIFICATION OF THE	
A	CURRENT TOPICS IN IMMUNOLOGY, vol. 9	MICROBIOLOGY AND 6, 1982, pages Verlag, Berlin, DE; Cloning with 2-mum e expression of	1,2,13- 15	C 12 N 15/00 C 12 N 1/16 C 12 P 21/02	
D,A	EP-A-0 201 239 (D LTD) * Claims *	ELTA BIOTECHNOLOGY	7,8		
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ļ	new system for in-	378; C.V. BRUSCHI: "A vivo study of the mid", & PLASMID 1987,	1-3,11, 14,15	TECHNICAL FIELDS SEARCHED (Int. Cl.4)	
]	EP-A-0 147 198 (B/ * Claims; page 21, line 14 *	ASS PUBLIC LTD, CO.) line 23 - page 26,	9		
	The present search report has				
THE	Place of search HAGUE	Date of completion of the search 28–06–1988	i	Examiner ER-MACK A.	
X: particularly relevant if taken alone Y: particularly relevant if combined with another document of the same category A: technological background		E: earlier paten after the filir other D: document cit L: document cit	nciple underlying the it document, but publis ng date ted in the application ed for other reasons	hed on, or	

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